

Please submit your Bio in the following format

Name Mary Ellen Burns
Address 7 Cogswell Lane
Stamford Ct 06902

Date: June 23, 2018

Tel: 203-327-4998
203-219-4945 cell

Email:
burnsm@optonline.net
maryellenburns52@gmail.com

I. Professional Accrediting Agency or Jurisdiction Date of Admission

Attorney New York State Supreme Court March 1979

II. Professional Organization Date of Admission Active/Inactive

Admitted, New York and Connecticut State Bars
Admitted, U.S. District Court - Eastern and Southern Districts
Connecticut State Bar Association 1988-1996
Reinsurance Association of America, Law Committee 1989 - 1996.Chair 1995-96
ARIAS-US (AIDA Reinsurance & Insurance Arbitration Society) member 1997-2017
Co-chair education committee 2013-2017
NAII (National Association of Independent Insurers) Law Committee 1989-1996

CERTIFICATIONS/LISTINGS

FINRA, Inc. Board of Arbitrators. Arbitrator Number A17036
Former Certified arbitrator & umpire American Reinsurance and Insurance Arbitration Society -ARIAS US.
American Arbitration Association, National Commercial Panel of Neutrals and Roster of Umpires.
National Futures Association arbitrator.
AIRROC certified arbitrator

DIRECTORSHIPS/ADVISORY COMMITTEES

Advisory Committee to Board of Directors of US Trust	1999-2002	
Connecticut Yankee Council, BSA, Executive Board	1998-1999	
National Reinsurance Corporation	1992-1996	
Navigators Insurance Company	1986	
Reinsurance Association of America, Law Committee		1989 -
1996.Chair 1995-96		

NAII (National Association of Independent Insurers) Law Committee	1989-1996
Education committee of ARIAS-US	co-chair
2008-present	July
Strategic Planning Committee, Stamford	February 2009-
November 2011	
Hospital Board of Directors.	
Director/Treasurer Friends of Mianus River Inc.	October 2010-
present	

III. General professional experience:

Arbitrator, Mediator and Attorney. 1997 to present

As an ADR specialist I serve as an arbitrator, mediator and expert witness in a variety of insurance, reinsurance and securities disputes. I have been appointed to over 250 arbitration panels since January of 1997, as both an umpire and an arbitrator, the majority of which of which dealt with insurance and reinsurance disputes. The balance addressed security and employment issues. I have also performed limited transactional work and advised on employment issues.

NATIONAL REINSURANCE CORPORATION, Stamford, CT

General Counsel , Senior Vice President	1993-
1996	
General Counsel , Vice President	1990 -
1993	
Assistant General Counsel	1987 -
1990	

Directed legal activities supporting business operations with two professionals and three support staff. Provided legal advice to senior management regarding transactions, securities law and employee relations. While serving as general counsel, we took the company private and then public as well as being responsible for several debt offering and SEC compliance. Participated in formulation of long and short term strategic initiatives. Elected to Board of Directors of operating company. Directed legal activities supporting business operations. Responsible for resolving questions and disputes involving facultative certificates, treaties, retrocessional contracts, alternative risk products, direct excess, fronting and other primary products. Interpreted reinsurance and primary contract language. Oversaw all arbitrations and litigation in which National Re was involved, either as a party or as a witness. Coordinated recoveries and commutations with current and former clients including ones in liquidation. Issues I dealt with included allocation of losses to various treaties, the allocation of legal fees

between policies, the obligations of MGA's and agents under their contracts, coverages intended, and settlements to be paid under treaties, the adjustment of automobile warranty claims, suretyship issues, including construction, financial guarantee and workers comp. In fulfilling these duties I worked closely with the claims and audit departments as well as underwriting.

KROLL & TRACT, New York, NY

Partner 1987	1986 -
Associate 1986	1981 -

Concentrated in insurance and reinsurance with emphasis on regulatory issues, corporate law and litigation. Managed legal aspects of corporate transactions including acquisitions, divestitures, company formations, and liquidations. Served as an incorporating or interim director for several insurance companies.

BRADY & TARPEY, New York, NY 1981	1980 -
---	--------

Associate

Researched and investigated all areas of insurance law and policies. Responsible for maintaining multi-state eligibility for alien insurers, liaised with regulators, examined and interpreted various tax treaties and contracts, and represented clients in various aspects of insurance litigation, including negligence, and malpractice.

AHEARN, DIMANTI & CARLSON, New York, NY - 1980	1978
--	------

Managed real estate closings and foreclosures. Dealt with U.C.C. Workers compensation, ERISA, banking, and commercial law and

IV. General pertinent experience:

I have had experience in many informal meditations, as a general counsel. As general Counsel, my role was to communicate with various people and departments within the organization, bring them together and facilitate their own resolution. I found I was very effective at a getting people to reach a consensus.

As a former litigator I also have had experience with the procedural aspects of mediation process as I negotiated settlements. Also I believe strongly that if people are given the opportunity to sit down and communicate, they can resolve their disagreements. Finally, in the three years that I have been doing arbitrations, I frequently find myself in the role of mediator in getting the other panelists to reach a consensus.

V. Mediation training:

Mediator Skill Training, November 3-5 1997 presented by the National Association of Securities Dealers Regulation inc., office of Dispute Resolution and the U.S. Bankruptcy Court, Southern District of New York.

VI. Pertinent bankruptcy training:

When I was National Re corporation we had occasion to file and pursue claims as creditors of bankrupts. I have also filed several personal bankruptcy petitions. I have also, from time to time been called upon to research various bankruptcy issues as they pertained to my clients, particularly in the area of insurance and reinsurance. Finally, I have been involved in a great many liquidations of insurance companies and while not governed by the same laws, many of the issues and principles the same. Moreover, we frequently looked to the bankruptcy court and rules for guidance.

VII. General pertinent business or legal experience:

Litigation and Arbitration: Managed litigation, arbitrations and mediation. Negotiated procedures disputes, commutations and discovery requests. Attended pretrial conferences; argued motions and appeals; appeared at administrative and judicial hearings; drafted pleadings, motions and briefs and evaluated claims. Supervised other associates and law firms. Tried several non-jury cases.

REAL ESTATE: Supervised lease and sublease negotiations for company offices. Foreclosed and closed residential and commercial real estate transactions.

Regulatory Affairs: Analyzed legislation. Served on the law committees of the RAA and the NAII lobbied state and federal regulators. Monitored regulatory, legislative and judicial developments; digested and interpreted statute and regulations; obtained state licenses; wrote and filed programs for approval. Established branches in the U.S., Canada and United Kingdom.

Tax: Reviewed, researched and analyzed various corporate and employee tax issues, including ERISA. Completed closings under tax treaties.

Securities Law: Responsible for all filings including 10Q, S-8, 13D, proxies and 16b filings. Advised Board of Directors and management. Wrote internal trading and compliance programs and procedures.

Transactions: Oversaw and coordinated National Re's management led leveraged buyout, IPO, debt offering, and several refinancings. Managed legal aspects of company merger as well as numerous stock purchases and investments. Negotiated terms and drafted agreements. Managed domestications and liquidations.

Employment and Labor Law: Negotiated and drafted employment contracts, severance and confidentiality agreements. Oversaw and mediated employee disputes. Drafted and reviewed employee benefits arrangements including pensions plans, and stock plans. Drafted policies to comply with the Family and Medical Leave Act, the Americans with Disabilities Act, and Title Seven.

Insurance and Reinsurance: Interpreted policy provisions. Reviewed and drafted treaties, agency contracts and trusts. Revised facultative certificates. Involved in alternative risk products, self insurance and **workers compensations** programs. Represented p & C and life insurers, reinsurers and surety companies. Advised on laws in various states.

Information Services: Reviewed and negotiated equipment leases and software licenses. Automated law library.

Surety: Drafted, negotiated, interpreted and litigated surety bonds for financial guarantee, workers' compensation and construction. Also ran off several surety books including participating in the adjustment of claims.