

Name: Andres Gomez
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Date: 6/18/2020

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I. Professional	Accrediting Agency or Jurisdiction	Date of Admission
Respect for All Liaison	NYC Department of Education	2011
Arbitrator	Financial Industry Regulatory Authority	2015
Mediator	NYS Bankruptcy Court Southern District	2011
Counsel	US Bankruptcy Court S. Florida District	2010
Tax Practitioner	Internal Revenue Service	2010
Accountant	New York State Unified Court System	2011
Property Manager	New York State Unified Court System	2011
Real Estate Broker	State of New York Department of State	2009
Municipal Securities Adviser	Municipal Securities Board	2009
Commodities Pool Operator	National Futures Association	2009
Investment Adviser	Securities & Exchange Commission	2009
Broker/Dealer	Securities & Exchange Commission	2008
Variable Life Insurance Broker	State of NY Department of Financial Services	2005
Variable Annuity Broker	State of NY Department of Financial Services	2005
Accident & Health Broker	State of NY Department of Financial Services	2005
Accident Travel Insurance Broker	State of NY Department of Financial Services	2005
Past Post Commander	Veterans of Foreign Wars	2014
Information Assurance Eng. & Arch.	United States Marine Corp	2001

II. Professional Organization	Date of Admission	Active/Inactive
National Bar Association	2011	Active
City Bar Justice Center	2011	Active
Council for Exceptional Children	2011	Active
National Association of Insurance Commissioners	2007	Active
Internet Engineering Task Force	2010	Active
American Legion	2000	Active
Veterans of Foreign Wars	2004	Active

III. General professional experience:

As the Principal Director and Chairman of AG Consultants (Broker Dealer, Registered Investment Advisory Firm) a New York based Investment Advisory Brokerage House I have managed the fiduciary accounts for institutional and qualified investors in different capacities- e.g. Counsel; Brokerage; Trust Services; Tax; Estate Planning; and IT Consulting. As managing director, I am charged with the development and enforcement of compliance and risk management directives and procedures. Services provided by the firm span across numerous industries and sectors including Private, Corporate, Government, National Exchanges, and Self-Regulated Organizations.

IV. General pertinent experience:

Experiences include consultancies to Wall Street type institutions and financial intermediaries in their development of information systems directed towards acquiring and reorganizing debt and debt instruments.

Lead focus groups for Investment Houses Dealings- primarily concerned consumer credit and property management strategies. Fiduciary responsibilities include wealth management strategies, REITs, and estate planning. Financial planning and real estate negotiations with reference to foreclosure sales and debt restructuring for private clients.

V. Mediation training:

I have participated in sixteen hours (16) of mediation training sponsored by the U.S. District Court for the Southern District of New York provided by the CPR Institute for dispute resolution. 20 hours of Basic and Advanced Arbitration and Mediation training from FINRA.

VI. Pertinent bankruptcy training:

Have handled numerous Chapter 13 Cases in the Florida Southern District Bankruptcy Court. My experience also encompasses Chapter 13 and 11 cases within NYS.

VII. General pertinent business or legal experience:

Of Counsel for litigation wing of Taylor One LLC and General Counsel to AG Consultants with emphasis on Estate Planning and Property Management; including Foreclosures, Bankruptcy, and state notice filings.

Chief Compliance Officer (Risk Manager) for Registered Broker Dealer and Investment Advisor. Chief Legal Officer to AG Consultants a professional association that engages in pro bono services.