

**Application for Multi-Court Exemption from the Judicial Conference's
Electronic Public Access (EPA) Fees
For Individual Researchers Associated with Educational Institutions**

Applicant's Name:	Yan Yang
Applicant's Title:	Assistant Professor
Applicant's Phone Number:	6133052599
Applicant's Email Address:	nicoleyang@cunet.carleton.ca
Applicant's Mailing Address:	7007 Nicol Building, 1125 Colonel By Dr, Ottawa, ON K1S 5B6

1. Are you an individual researcher associated with an educational institution, or a student associated with an educational institution conducting academic research under the supervision of a professor or instructor?

Yes

No

2. Please identify your status. [Certification Requirement] Please attach a letter from the professor/instructor who certifies this research is for a course project, thesis, dissertation, or other academic product.

High School student

Undergraduate Student

Graduate/Professional Student

Faculty/Lecturer

Post-Doctoral Researcher

Certification program student.

3. Please provide the name and type of educational institution you and your research are associated with.

Name of Institution: Carleton University

Community/Junior College

Four-year college or university

Post-graduate program

Other degree conferring program, including secondary school program.

Professional skills certification program

Other non-degree conferring program. Explain:

4. Is the associated educational institution duly accredited and located in the United States? You may search this site <https://ope.ed.gov/dapip/#/home> to determine whether your institution has been accredited in the United States.

Yes

No

5. Do you and/or your affiliated academic institution plan to sell the product of your research?

Yes

No

6. Do you plan to re-distribute the product of your research? If so, please describe the manner in which it will be re-distributed?

No. I do not plan to redistribute PACER records, court filings, docket reports, or downloaded documents. The PACER materials will be used only for academic research purposes by the research team. Any public output from the project will consist of

7. Please describe your research project, including the hypothesis or thesis that your research will support. You may be required to provide additional information.

This project examines how investment banks affect the resolution of large corporate Chapter 11 bankruptcy cases. The central research question is whether investment-bank involvement preserves value by reducing information frictions and coordination problems, or instead contributes to value extraction through advisor incentives and fee

8. Please describe what resources are needed from PACER and why. Limitation in scope is demonstrated by narrowly tailoring the amount of exempt access requested to meet the needs of the defined research project.

I request PACER fee exemption for targeted access to bankruptcy court records for a defined sample of 1,104 large Chapter 11 corporate bankruptcy cases filed from 2002 to 2024. The research requires docket-level and document-level information because the relevant advisor, financing, asset sale, and plan information is often contained only in court filings and orders. Commercial databases provide useful case identifiers and

9. Please estimate of the number and type(s) of cases and/or documents needed to accomplish your research.

The project requires access to 1,104 Chapter 11 corporate bankruptcy cases filed between 2002 and 2024 in 63 bankruptcy courts.

For each case, I expect to download the court docket report to identify relevant filings and case milestones. I will then download only targeted documents needed to code the research variables, such as advisor retention and fee documents, DIP financing orders, Section 363 sale documents, disclosure statements, confirmed plans, and confirmation

10. Please indicate whether you intend to conduct your research using manual searches or by running a data script or some other computer-aided search and/or retrieval process.

I intend to conduct the research through manual searches and manual document review in PACER/CM/ECF. I will not use automated scraping, bulk downloading, or high-volume computer-aided retrieval scripts. Any downloads will be limited to targeted docket reports and specific documents needed for the defined academic research project.

11. What is the period of time for which you are requesting the PACER fee exemption? Please note that you must provide a specific timeframe. You may not request an exemption for an indefinite time period.

I request the PACER fee exemption for the period May 14, 2026 to August 31, 2026. This period is needed to complete the docket review, targeted document downloads, and initial coding for the defined sample.

12. Please select the courts from which you are requesting PACER fee exemptions.

Courts of Appeal

- | | | |
|---|--|---|
| <input type="checkbox"/> All Courts of Appeal | <input type="checkbox"/> Fifth Circuit | <input type="checkbox"/> Tenth Circuit |
| <input type="checkbox"/> First Circuit | <input type="checkbox"/> Sixth Circuit | <input type="checkbox"/> Eleventh Circuit |
| <input type="checkbox"/> Second Circuit | <input type="checkbox"/> Seventh Circuit | <input type="checkbox"/> D.C Circuit |
| <input type="checkbox"/> Third Circuit | <input type="checkbox"/> Eighth Circuit | <input type="checkbox"/> Federal Circuit |
| <input type="checkbox"/> Fourth Circuit | <input type="checkbox"/> Ninth Circuit | |

Bankruptcy Appellate Panels (BAP)

- | | |
|---|--|
| <input type="checkbox"/> First Circuit - BAP | <input type="checkbox"/> Ninth Circuit - BAP |
| <input type="checkbox"/> Sixth Circuit - BAP | <input type="checkbox"/> Tenth Circuit - BAP |
| <input type="checkbox"/> Eighth Circuit - BAP | |

District Courts

- | | | | |
|--|--|---|--|
| <input type="checkbox"/> All District Courts | <input type="checkbox"/> Idaho | <input type="checkbox"/> Montana | <input type="checkbox"/> Puerto Rico |
| <input type="checkbox"/> Alabama Middle | <input type="checkbox"/> Illinois Central | <input type="checkbox"/> Nebraska | <input type="checkbox"/> Rhode Island |
| <input type="checkbox"/> Alabama Northern | <input type="checkbox"/> Illinois Northern | <input type="checkbox"/> Nevada | <input type="checkbox"/> South Carolina |
| <input type="checkbox"/> Alabama Southern | <input type="checkbox"/> Illinois Southern | <input type="checkbox"/> New Hampshire | <input type="checkbox"/> South Dakota |
| <input type="checkbox"/> Alaska | <input type="checkbox"/> Indiana Northern | <input type="checkbox"/> New Jersey | <input type="checkbox"/> Tennessee Eastern |
| <input type="checkbox"/> Arizona | <input type="checkbox"/> Indiana Southern | <input type="checkbox"/> New Mexico | <input type="checkbox"/> Tennessee Middle |
| <input type="checkbox"/> Arkansas Eastern | <input type="checkbox"/> Iowa Northern | <input type="checkbox"/> New York Eastern | <input type="checkbox"/> Tennessee Western |

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|---|---|---|---|
| <input type="checkbox"/> Arkansas Western | <input type="checkbox"/> Iowa Southern | <input type="checkbox"/> New York Northern | <input type="checkbox"/> Texas Eastern |
| <input type="checkbox"/> California Central | <input type="checkbox"/> Kansas | <input type="checkbox"/> New York Southern | <input type="checkbox"/> Texas Northern |
| <input type="checkbox"/> California Eastern | <input type="checkbox"/> Kentucky Eastern | <input type="checkbox"/> New York Western | <input type="checkbox"/> Texas Southern |
| <input type="checkbox"/> California Northern | <input type="checkbox"/> Kentucky Western | <input type="checkbox"/> North Carolina Eastern | <input type="checkbox"/> Texas Western |
| <input type="checkbox"/> California Southern | <input type="checkbox"/> Louisiana Eastern | <input type="checkbox"/> North Carolina Middle | <input type="checkbox"/> Utah |
| <input type="checkbox"/> Colorado | <input type="checkbox"/> Louisiana Middle | <input type="checkbox"/> North Carolina Western | <input type="checkbox"/> Vermont |
| <input type="checkbox"/> Connecticut | <input type="checkbox"/> Louisiana Western | <input type="checkbox"/> North Dakota | <input type="checkbox"/> Virgin Islands |
| <input type="checkbox"/> Delaware | <input type="checkbox"/> Maine | <input type="checkbox"/> Northern Mariana Islands | <input type="checkbox"/> Virginia Eastern |
| <input type="checkbox"/> District of Columbia | <input type="checkbox"/> Maryland | <input type="checkbox"/> Ohio Northern | <input type="checkbox"/> Virginia Western |
| <input type="checkbox"/> Florida Middle | <input type="checkbox"/> Massachusetts | <input type="checkbox"/> Ohio Southern | <input type="checkbox"/> Washington Eastern |
| <input type="checkbox"/> Florida Northern | <input type="checkbox"/> Michigan Eastern | <input type="checkbox"/> Oklahoma Eastern | <input type="checkbox"/> Washington Western |
| <input type="checkbox"/> Florida Southern | <input type="checkbox"/> Michigan Western | <input type="checkbox"/> Oklahoma Northern | <input type="checkbox"/> West Virginia Northern |
| <input type="checkbox"/> Georgia Middle | <input type="checkbox"/> Minnesota | <input type="checkbox"/> Oklahoma Western | <input type="checkbox"/> West Virginia Southern |
| <input type="checkbox"/> Georgia Northern | <input type="checkbox"/> Mississippi Northern | <input type="checkbox"/> Oregon | <input type="checkbox"/> Wisconsin Eastern |
| <input type="checkbox"/> Georgia Southern | <input type="checkbox"/> Mississippi Southern | <input type="checkbox"/> Pennsylvania Eastern | <input type="checkbox"/> Wisconsin Western |
| <input type="checkbox"/> Guam | <input type="checkbox"/> Missouri Eastern | <input type="checkbox"/> Pennsylvania Middle | <input type="checkbox"/> Wyoming |
| <input type="checkbox"/> Hawaii | <input type="checkbox"/> Missouri Western | <input type="checkbox"/> Pennsylvania Western | |

Bankruptcy Courts

- | | | | |
|---|---|--|---|
| <input type="checkbox"/> All Bankruptcy Courts | <input checked="" type="checkbox"/> Idaho | <input type="checkbox"/> Montana | <input type="checkbox"/> Puerto Rico |
| <input type="checkbox"/> Alabama Middle | <input type="checkbox"/> Illinois Central | <input checked="" type="checkbox"/> Nebraska | <input type="checkbox"/> Rhode Island |
| <input checked="" type="checkbox"/> Alabama Northern | <input checked="" type="checkbox"/> Illinois Northern | <input checked="" type="checkbox"/> Nevada | <input checked="" type="checkbox"/> South Carolina |
| <input checked="" type="checkbox"/> Alabama Southern | <input type="checkbox"/> Illinois Southern | <input checked="" type="checkbox"/> New Hampshire | <input type="checkbox"/> South Dakota |
| <input checked="" type="checkbox"/> Alaska | <input type="checkbox"/> Indiana Northern | <input checked="" type="checkbox"/> New Jersey | <input checked="" type="checkbox"/> Tennessee Eastern |
| <input checked="" type="checkbox"/> Arizona | <input checked="" type="checkbox"/> Indiana Southern | <input type="checkbox"/> New Mexico | <input checked="" type="checkbox"/> Tennessee Middle |
| <input checked="" type="checkbox"/> Arkansas Eastern | <input type="checkbox"/> Iowa Northern | <input checked="" type="checkbox"/> New York Eastern | <input type="checkbox"/> Tennessee Western |
| <input checked="" type="checkbox"/> Arkansas Western | <input type="checkbox"/> Iowa Southern | <input checked="" type="checkbox"/> New York Northern | <input checked="" type="checkbox"/> Texas Eastern |
| <input checked="" type="checkbox"/> California Central | <input type="checkbox"/> Kansas | <input checked="" type="checkbox"/> New York Southern | <input checked="" type="checkbox"/> Texas Northern |
| <input checked="" type="checkbox"/> California Eastern | <input checked="" type="checkbox"/> Kentucky Eastern | <input checked="" type="checkbox"/> New York Western | <input checked="" type="checkbox"/> Texas Southern |
| <input checked="" type="checkbox"/> California Northern | <input checked="" type="checkbox"/> Kentucky Western | <input checked="" type="checkbox"/> North Carolina Eastern | <input checked="" type="checkbox"/> Texas Western |
| <input checked="" type="checkbox"/> California Southern | <input checked="" type="checkbox"/> Louisiana Eastern | <input type="checkbox"/> North Carolina Middle | <input checked="" type="checkbox"/> Utah |
| <input checked="" type="checkbox"/> Colorado | <input type="checkbox"/> Louisiana Middle | <input type="checkbox"/> North Carolina Western | <input checked="" type="checkbox"/> Vermont |
| <input checked="" type="checkbox"/> Connecticut | <input checked="" type="checkbox"/> Louisiana Western | <input type="checkbox"/> North Dakota | <input type="checkbox"/> Virgin Islands |
| <input checked="" type="checkbox"/> Delaware | <input checked="" type="checkbox"/> Maine | <input type="checkbox"/> Northern Mariana Islands | <input checked="" type="checkbox"/> Virginia Eastern |
| <input type="checkbox"/> District of Columbia | <input checked="" type="checkbox"/> Maryland | <input checked="" type="checkbox"/> Ohio Northern | <input checked="" type="checkbox"/> Virginia Western |
| <input checked="" type="checkbox"/> Florida Middle | <input checked="" type="checkbox"/> Massachusetts | <input checked="" type="checkbox"/> Ohio Southern | <input type="checkbox"/> Washington Eastern |

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|--|--|--|--|
| <input type="checkbox"/> Florida Northern | <input checked="" type="checkbox"/> Michigan Eastern | <input type="checkbox"/> Oklahoma Eastern | <input checked="" type="checkbox"/> Washington Western |
| <input checked="" type="checkbox"/> Florida Southern | <input checked="" type="checkbox"/> Michigan Western | <input type="checkbox"/> Oklahoma Northern | <input checked="" type="checkbox"/> West Virginia Northern |
| <input checked="" type="checkbox"/> Georgia Middle | <input checked="" type="checkbox"/> Minnesota | <input checked="" type="checkbox"/> Oklahoma Western | <input type="checkbox"/> West Virginia Southern |
| <input checked="" type="checkbox"/> Georgia Northern | <input type="checkbox"/> Mississippi Northern | <input type="checkbox"/> Oregon | <input checked="" type="checkbox"/> Wisconsin Eastern |
| <input checked="" type="checkbox"/> Georgia Southern | <input checked="" type="checkbox"/> Mississippi Southern | <input checked="" type="checkbox"/> Pennsylvania Eastern | <input type="checkbox"/> Wisconsin Western |
| <input type="checkbox"/> Guam | <input checked="" type="checkbox"/> Missouri Eastern | <input type="checkbox"/> Pennsylvania Middle | <input type="checkbox"/> Wyoming |
| <input checked="" type="checkbox"/> Hawaii | <input checked="" type="checkbox"/> Missouri Western | <input checked="" type="checkbox"/> Pennsylvania Western | |

National Courts

- Judicial Panel on Multidistrict Litigation
- U.S. Court of Federal Claims
- U.S. Court of International Trade

13. In support of this application, I certify the following:

- a. An exemption from the Judicial Conference's EPA Fee is necessary in order to avoid unreasonable burdens and to promote public access to information.
- b. I understand that any authorized fee exemption will apply only to me, will be valid only for the purposes stated above and will apply only to the electronic case files of the court(s) indicated above that are available through the PACER service.
- c. I agree that any data I receive through this exemption will not be sold for profit, will not be transferred, will not be used for commercial purposes, and will not be redistributed via the Internet; and
- d. I declare that all the information I have provided on this application is true, and I understand that a false statement may result in termination of my exempt access and an assessment of Electronic Public Access usage fees.
- e. I certify that I have read the instructions and am aware of the available resources to obtain judicial opinions and data on case filings outside of PACER and that additional information from PACER is required to accomplish my research objective.

Signature yan yang