

**Kathleen A. Roberts**  
**JAMS**  
**620 Eighth Avenue 34<sup>th</sup> Floor**  
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**File Dated: 12/16/08**

<u>I. Profession</u>	<u>Accrediting Agency or Jurisdiction</u>	<u>Date of Admission</u>
Attorney	New York, Appellate Division Second Department	1979

<u>II. Professional Organization</u>	<u>Date of Admission</u>	<u>Active/Inactive</u>
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Association of the Bar of the City of New York (Member, Arbitration Committee; former member, ADR Committee)  
American Bar Association (Member, Dispute Resolution Section)  
New York County Lawyer's Association  
New York State Bar Association  
College of Commercial Arbitrators

**III. General professional experience:**

May 1995 – present: Full-time mediator, arbitrator and special master with JAMS – The Resolution Experts  
November 1985 – April 1995: United States Magistrate Judge (SDNY)  
November 1979 – October 1985: Assistant United States Attorney (SDNY)  
September 1977 – August 1979: Law Clerk to Hon. William C. Conner (SDNY)

**IV. General pertinent experience:**

As a United States Magistrate Judge, I conducted settlement conferences in more than one thousand cases. As a full-time mediator with JAMS, I have mediated hundreds of cases primarily involving commercial, employment, insurance, intellectual property, securities, healthcare, and personal injury/product liability disputes. (See attached summaries of experience by subject matter.) I have also conducted dozens of mediation training programs, and, since 1998, have taught a seminar in Alternative Dispute Resolution at the New York University School of Law which includes an extensive segment on mediation, as well as negotiation and arbitration.

I am a member of the pro bono mediation panels of the Southern and Eastern Districts of New York and the Commercial Division, Supreme Court, New York County.

**V. Mediation training:**

I have taken a number of internal “CLE” courses through the JAMS Institute, and have taught in dozens of mediation training courses, including programs at the Association of the Bar of the City of New York, and the Southern and Eastern Districts of New York. As a United States Magistrate Judge, I participated in courses on mediation and settlement conferences presented by the Federal Judicial Center.

**VI. Pertinent bankruptcy training:**

Both in my service as a United States Magistrate Judge and as a mediator and arbitrator with JAMS, I have supervised discovery in and mediated dozens of cases in which there were ongoing, related or contemplated bankruptcy proceedings.

**VII. General pertinent business or legal experience:**

## **Hon. Kathleen A. Roberts (Ret.)**

### **Securities Dispute Resolution Experience**

Judge Kathleen A. Roberts has been a full-time mediator, arbitrator, and special discovery master since 1995. She is highly regarded for her skillful facilitation, careful neutral evaluation, and persistence in resolving all types of disputes, and for her ability to manage complex arbitrations and provide well-reasoned awards.

### **Representative Matters**

- Managed pretrial proceedings in a case alleging securities violations and common law fraud by a major securities firm in connection with the marketing of limited partnerships to finance the development of a luxury hotel
- Managed pretrial proceedings in a consolidated multi-district class action litigation against officers, brokers, accountants and attorneys arising from a massive "ponzi scheme" fraud in the issuance of stock, bonds and promissory notes by corporations that purchased health care receivables
- Managed pretrial proceedings in an action by the SEC alleging illegal markups by a market maker in "penny" stocks
- Managed pretrial proceedings in litigation involving alleged misrepresentations in the sale of convertible subordinated debentures that decreased in value following a friendly takeover

### **Representative Mediations**

- Settled an action alleging an institutional investor's breach of contract to purchase securities known as "residuals"
- Settled an action by investors alleging federal securities violations and common law fraud in connection with the purchase and sale of debentures
- Settled three multi-million dollar class actions, pending in state and federal court, brought by former executives of a major brokerage firm, alleging securities violations and breach of contract in connection with an equity compensation plan

### **Honors, Memberships, and Professional Activities**

- Member of the mediation panels for the United States District Court in the Southern and Eastern Districts of New York, and the Commercial Division of the Supreme Court, New York County
- Adjunct Professor of Law at New York University School of Law, teaching Alternative Dispute Resolution, 1998-present
- Frequent participant in bar association programs and continuing legal education

- programs on mediation, arbitration, and federal litigation practice
- Admitted to practice in New York and Oregon, and before the U.S. Court of Appeals for the Second and Ninth Circuits
  - Member of the New York City Bar (member, Arbitration Committee; former member, Committee on Professional and Judicial Ethics; former member, Alternative Dispute Resolution Committee); New York County Lawyers' Association; American Bar Association (member, Section of Dispute Resolution); New York State Bar Association and the College of Commercial Arbitrators

### **Background and Education**

- Assistant United States Attorney, Southern District of New York, criminal & civil divisions, 1979-1985
- Law Clerk, Judge William C. Conner, U.S. District Court for the Southern District of New York, 1977-1979
- J.D., Yale Law School, Editor of the *Yale Law Journal*, 1977
- B.A., *summa cum laude*, University of Massachusetts, 1971

### **References Available Upon Request**

**Hon. Kathleen A. Roberts (Ret.)** served as a United States Magistrate Judge in the Southern District of New York from 1985 to 1995 before joining JAMS as a mediator and arbitrator. Both on the bench and as a JAMS panelist, Judge Roberts has had extensive experience in mediating, adjudicating, and supervising discovery in all types of intellectual property disputes including copyright, trademark, unfair competition and patent cases.



*“As a mediator, I use a combination of facilitative and evaluative techniques to assist the parties in communicating their interests, identifying the barriers to settlement, and in assessing the strengths and weaknesses of their litigation alternatives in order to reach a mutually satisfying and fair resolution of their dispute. As an arbitrator, I am committed to providing a fair and efficient process and a reasoned and just result.”*

## **INTELLECTUAL PROPERTY DISPUTE RESOLUTION EXPERIENCE**

Intellectual Property matters handled by Judge Roberts include:

- mediated a copyright and trademark infringement action by an internationally recognized jewelry designer against merchants in Manhattan's jewelry center
- mediated a false advertising dispute between makers of designer and discount fragrances
- mediated a patent case involving the design of a nationally known brand of sports shoes
- served as a judge in two mock “Markman” hearings, in cases involving computer design and memory circuitry
- appointed by the federal district court for the District of Columbia to resolve privilege claims in patent litigation between two of the largest U.S. telecommunications companies
- served as an appellate judge in the mock argument of a case before the Federal Circuit involving pharmaceutical patents
- arbitrated a copyright case involving Ruth Orkin’s famous photograph “American Girl in Italy”
- tried an action brought by a national restaurant chain against a franchisee, alleging breach of franchise agreements and trademark and copyright violations

## **OTHER EXPERIENCE**

- Adjunct Professor, New York University School of Law, 1998 – present, teaching Alternative Dispute Resolution
- Assistant United States Attorney, Southern District of New York, criminal & civil divisions, 1979-1985
- Law Clerk, Judge William C. Conner, United States District Court for the Southern District of New York, 1977-1979
- Judge Roberts frequently speaks to bar associations and other professional groups regarding alternative dispute resolution, including mediation and arbitration.

## **BAR ADMISSIONS AND PROFESSIONAL ASSOCIATIONS**

Admitted to practice in New York and Oregon and before the United States Court of Appeals for the Second and Ninth Circuits. Association of the Bar of the City of New York (member, Arbitration Committee; former member, Committee on Professional and Judicial Ethics; former member, Alternative Dispute Resolution Committee); New York County Lawyers' Association; American Bar Association (member, Section of Dispute Resolution); New York State Bar Association; College of Commercial Arbitrators.

## **EDUCATION**

- J.D., Yale Law School, Editor of the *Yale Law Journal*, 1977
- B.A., University of Massachusetts, *summa cum laude*, 1971

## **REFERENCES AVAILABLE ON REQUEST**

## Hon. Kathleen A. Roberts (Ret.)

Kathleen A. Roberts served as a United States Magistrate Judge in the Southern District of New York from 1985 to 1995, when she joined JAMS as a mediator and arbitrator. Both on the bench and as a JAMS panelist, Judge Roberts has had extensive experience in adjudicating and settling insurance coverage disputes.



*“As a mediator, I use a combination of facilitative and evaluative techniques to assist the parties in communicating their interests, identifying the barriers to settlement, and in assessing the strengths and weaknesses of their litigation alternatives in order to reach a mutually satisfying and fair resolution of their dispute. As an arbitrator, I am committed to providing a fair and efficient process and a reasoned and just result.”*

### INSURANCE COVERAGE DISPUTE RESOLUTION EXPERIENCE

While on the bench, Judge Roberts managed pretrial proceedings and conducted settlement conferences in a number of insurance coverage disputes. She supervised discovery in a case involving six actions brought by the liquidator of an insolvent reinsurance company against over fifty ceding companies and retrocessionaires, and former managers of the insolvent reinsurer, and in an action by an insured against its liability carrier seeking indemnification and defense costs arising from the settlement of an action alleging defects in the manufacture of transformers installed in the World Financial Center. She also settled dozens of cases, including a multi-million dollar dispute among the general contractor, a subcontractor, their insurers and the City of New York arising from a construction contract relating to the New York City reservoir system, and an action brought by homeowners alleging breach of contract and deceptive practices based upon an insurer's conduct in delaying payment on a fire damage claim

Since joining JAMS, Judge Roberts has mediated and arbitrated numerous insurance coverage disputes.

### REPRESENTATIVE INSURANCE COVERAGE MEDIATIONS

- Allocation of responsibility among insurers for personal injuries and property damage arising from a fire at a Rockefeller Center restaurant
- Coverage and allocation of responsibility issues among insurers for a \$1.8 million personal injury verdict against property owner
- Coverage issues arising out of charges of defamation and false advertising against a major clothing designer
- Claims of improper denial of disability insurance
- Coverage disputes and application of policy exclusions in directors and officer's liability insurance

### REPRESENTATIVE INSURANCE COVERAGE ARBITRATIONS

- Insurance coverage dispute involving the application of policy exclusions to the failure of a bridge in Panama
- Dispute between issues of sub-prime automobile loans and risk default insurance carrier
- Dispute regarding reinsurer's liability for settlements in hundreds of asbestos claims
- Dispute regarding risk default insurance for credit extended on purchases of medical equipment in South America
- Several disputes regarding attorney's fees and other defense costs

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## **OTHER EXPERIENCE**

- Adjunct Professor, New York University School of Law, 1998 – present, teaching Alternative Dispute Resolution
- Assistant United States Attorney, Southern District of New York, criminal & civil divisions, 1979-1985
- Law Clerk, Judge William C. Conner, United States District Court for the Southern District of New York, 1977-1979
- Judge Roberts frequently speaks to bar associations and other professional groups regarding alternative dispute resolution, including mediation and arbitration.

## **EDUCATION**

- J.D., Yale Law School, Editor of the *Yale Law Journal*, 1977
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## **REFERENCES AVAILABLE ON REQUEST**

**Hon. Kathleen A. Roberts (Ret.)** has been a full-time mediator, arbitrator, and special discovery master since 1995. She is highly regarded for her skillful facilitation, careful neutral evaluation, and persistence in resolving all types of disputes, and for her ability to manage complex arbitrations and provide well-reasoned awards.

### **ADR Experience and Qualifications**

- As a United States Magistrate Judge (SDNY) from 1985-1995, she adjudicated, supervised discovery, and settled hundreds of cases in all areas of federal litigation
- Since joining JAMS, Judge Roberts has successfully mediated dozens of disputes in commercial, employment compensation and discrimination, securities, intellectual property, insurance coverage, environmental, and personal injury/product liability cases
- Arbitrated dozens of complex commercial, employment, insurance, and other cases since 1995

### **Representative Matters**

- Mediation of a multi-million dollar class action against a major restaurant chain, asserting claims under the Fair Labor Standards Act
- Mediation of a breach of contract dispute between a national hospitality chain and accounting software consultants
- Mediation of a number of sensitive gender discrimination claims brought by high level employees of major financial institutions
- Mediation of a "multi-media" EPA enforcement action against a major pharmaceutical manufacturer, involving allegations under the Clean Water Act, RCRA, and EPCRA
- Mediation of multiple related securities class actions asserting claims pertaining to the sale of limited partnerships by a major financial institution
- Arbitration of several complex insurance coverage disputes pertaining to credit default insurance for automobiles and medical equipment
- Arbitration, under the auspices of the International Chamber of Commerce, of a dispute between a United States software manufacturer and its Taiwanese distributor, and a dispute between partners in numerous business ventures in Russia and the former Soviet Union
- Appointed as a discovery master by federal and state courts to supervise discovery and review privileged documents in complex commercial, patent, and product liability cases, and as an election monitor for unions under court supervision

### **Honors, Memberships, and Professional Activities**

- Member of the mediation panels for the United States District Court in the Southern and Eastern Districts of New York, and the Commercial Division of the Supreme Court, New York County
- Adjunct Professor of Law at New York University School of Law, teaching Alternative Dispute Resolution, 1998-present

- Frequent participant in bar association programs and continuing legal education programs on mediation, arbitration, and federal litigation practice
- Admitted to practice in New York and Oregon, and before the U.S. Court of Appeals for the Second and Ninth Circuits
- Member of the New York City Bar (member, Arbitration Committee; former member, Committee on Professional and Judicial Ethics; former member, Alternative Dispute Resolution Committee); New York County Lawyers' Association; American Bar Association (member, Section of Dispute Resolution) New York State Bar Association; and the College of Commercial Arbitrators

### **Background and Education**

- Assistant United States Attorney, Southern District of New York, criminal & civil divisions, 1979-1985
- Law Clerk, Judge William C. Conner, U.S. District Court for the Southern District of New York, 1977-1979
- J.D., Yale Law School, Editor of the *Yale Law Journal*, 1977
- B.A., *summa cum laude*, University of Massachusetts, 1971

### **References Available Upon Request**

**Hon. Kathleen A. Roberts (Ret.)** served as a United States Magistrate Judge in the Southern District of New York from 1985 to 1995, when she joined JAMS as a mediator and arbitrator. Both on the bench and as a JAMS panelist, Judge Roberts has had extensive experience in adjudicating and settling employment disputes, including breach of contract and Fair Labor Standards Act cases, as well as claims of race, gender, age, national origin and religious discrimination and sexual harassment.



*“As a mediator, I use a combination of facilitative and evaluative techniques to assist the parties in communicating their interests, identifying the barriers to settlement, and in assessing the strengths and weaknesses of their litigation alternatives in order to reach a mutually satisfying and fair resolution of their dispute. As an arbitrator, I am committed to providing a fair and efficient process and a reasoned and just result.”*

## **EMPLOYMENT DISPUTE RESOLUTION EXPERIENCE**

The employment disputes mediated by Judge Roberts include:

- Three multi-million dollar class actions, pending in both state and federal court, brought by former securities executives alleging breach of contract and federal securities claims in connection with an equity compensation plan
- \$18 million Fair Labor Standards Act class action against a national restaurant chain
- Dozens of gender discrimination and sexual harassment claims brought by women at all levels of employment in a wide range of industries
- National origin discrimination action brought by American employees terminated by a Japanese corporation
- Fair Labor Standards Act retaliation action brought by network newswriters and producers
- Several breach of contract claims brought by doctor and dentist partners in medical and dental practice groups
- Sex discrimination, sexual harassment and retaliation claims against a major law firm, arising in part from the display and exchange of internet pornography

The employment disputes arbitrated by Judge Roberts include:

- Numerous breach of contract actions brought by high level executives and middle managers in the fields of telecommunications, technology, real estate, financial services, law, marketing and consumer electronics
- Race discrimination claims brought by current and former employees of a major military subcontractor in Eastern Europe and the Middle East
- Gender and sexual orientation discrimination, sexual harassment and age discrimination claims brought by employees in financial services, telecommunications, technology, advertising and marketing and other industries
- Constructive discharge claims arising from “change of control” and/or “change of responsibilities” following mergers or acquisitions

Prior to her appointment to the bench, Judge Roberts served as an Assistant United States Attorney in the Southern District of New York (1979-1985), where she represented the government both as a plaintiff and defendant in race and gender discrimination cases.

## **BAR ADMISSIONS AND PROFESSIONAL ASSOCIATIONS**

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#### **OTHER EXPERIENCE**

- Adjunct Professor, New York University School of Law, 1998 – present, teaching Alternative Dispute Resolution
- Law Clerk, Judge William C. Conner, United States District Court for the Southern District of New York, 1977-1979
- Judge Roberts frequently speaks to bar associations and other professional groups regarding alternative dispute resolution, including mediation and arbitration.

#### **EDUCATION**

- J.D., Yale Law School, Editor of the *Yale Law Journal*, 1977
- B.A., University of Massachusetts, *summa cum laude*, 1971

#### **REFERENCES AVAILABLE ON REQUEST**

**Hon. Kathleen A. Roberts (Ret.)** served as a United States Magistrate Judge in the Southern District of New York from 1985 to 1995, when she joined JAMS as a mediator and arbitrator. Both on the bench and as a JAMS panelist, Judge Roberts has had extensive experience in adjudicating and settling business and commercial disputes.



*“As a mediator, I use a combination of facilitative and evaluative techniques to assist the parties in communicating their interests, identifying the barriers to settlement, and in assessing the strengths and weaknesses of their litigation alternatives in order to reach a mutually satisfying and fair resolution of their dispute. As an arbitrator, I am committed to providing a fair and efficient process and a reasoned and just result.”*

## **BUSINESS/COMMERCIAL DISPUTE RESOLUTION EXPERIENCE**

The commercial disputes mediated by Judge Roberts include:

- Dispute between investors and operators of a power plant in China
- Breach of contract action brought by a German doctor against an international venture capital firm, alleging failure to fund the development of managed care practices in Europe
- Breach of a requirements contract for computer components
- Action against major cable company alleging antitrust violations in connection with competition to install residential cable in New York City
- Breach of contract dispute between a national hospitality chain and accounting software consultants
- Brokerage dispute arising from the construction and leasing of one of the largest office buildings in Charlotte, North Carolina
- Numerous franchise agreement disputes between General Motors and its retail dealers

The commercial disputes arbitrated by Judge Roberts include:

- Dissolution of a highly successful accounting partnership concentrating in the entertainment industry
- Breach of contract involving a joint venture to own and operate oil tankers
- Breach of representations and warranties in connection with the acquisition of a life insurance company
- Breach of contract involving the production of public affairs programs for Public Television
- Minority shareholder dispute in a closely-held money management firm
- Breach of contract dispute between American software manufacturer and its Taiwanese distributor
- Multi-million dollar billing dispute between two telecommunications giants arising from the spinoff of certain businesses required to obtain antitrust division approval of a merger
- Breach of contract dispute between a top New York developer and a major financial institution pertaining to a multi-million dollar real estate development project

## **BAR ADMISSIONS AND PROFESSIONAL ASSOCIATIONS**

Admitted to practice in New York and Oregon and before the United States Court of Appeals for the Second and Ninth Circuits. Association of the Bar of the City of New York (member, Arbitration Committee; former member, Committee on Professional and Judicial Ethics; former member, Alternative Dispute Resolution Committee); New York County Lawyers' Association; American Bar Association (member, Section of Dispute Resolution); New York State Bar Association; College of Commercial Arbitrators.

### **OTHER EXPERIENCE**

- Adjunct Professor, New York University School of Law, 1998–present, teaching Alternative Dispute Resolution
- Assistant United States Attorney, Southern District of New York, criminal & civil divisions, 1979-1985
- Law Clerk, Judge William C. Conner, United States District Court for the Southern District of New York, 1977-1979
- Judge Roberts frequently speaks to bar associations and other professional groups regarding alternative dispute resolution, including mediation and arbitration.

### **EDUCATION**

- J.D., Yale Law School, Editor of the *Yale Law Journal*, 1977
- B.A., University of Massachusetts, *summa cum laude*, 1971

### **REFERENCES AVAILABLE ON REQUEST**

## **Hon. Kathleen A. Roberts (Ret.)**

### **Arbitration Experience**

Judge Roberts served as a United States Magistrate Judge in the Southern District of New York from 1985 to 1995, where she presided over trials and supervised discovery in hundreds of commercial, employment, insurance, securities, intellectual property, product liability, environmental, and personal injury cases. She is an Adjunct Professor at New York University Law School, where she teaches arbitration as part of a semester-long course on Alternative Dispute Resolution.

Since joining JAMS, Judge Roberts has arbitrated dozens of cases, as a sole arbitrator or as the umpire or neutral arbitrator on a three-arbitrator panel. Her arbitration practice is focused on complex commercial, insurance, and employment-related disputes. She has arbitrated numerous cases under the rules of the American Arbitration Association, as well as JAMS, and has twice been appointed Chair of an International Chamber of Commerce Arbitration Tribunal. Representative disputes arbitrated by Judge Roberts include the following.

### **Representative Arbitrations**

- Breach of contract dispute between a top New York developer and a major financial institution pertaining to a multi-million dollar real estate development project
- Breach of contract dispute between American software manufacturer and its Taiwanese distributor
- Breach of contract involving a joint venture to own and operate oil tankers
- Breach of contract involving the production of public affairs programs for Public Television
- Breach of representations and warranties in connection with the acquisition of a life insurance company
- Dispute between a sub-prime automobile lender and its risk default insurance carrier
- Dissolution of a highly successful accounting partnership concentrating in the entertainment industry
- Insurance coverage dispute involving the application of policy exclusions to the failure of a bridge in Panama
- Minority shareholder dispute in a closely-held money management firm
- Multiple issues between a national franchiser of weight reduction programs and its franchisees
- Numerous disputes pertaining to executive compensation, including executives in the computer software, advertising, and financial services industries

## **Honors, Memberships, and Professional Activities**

- Member of the mediation panels for the United States District Court in the Southern and Eastern Districts of New York, and the Commercial Division of the Supreme Court, New York County
- Adjunct Professor of Law at New York University School of Law, teaching Alternative Dispute Resolution, 1998-present
- Frequent participant in bar association programs and continuing legal education programs on mediation, arbitration, and federal litigation practice
- Admitted to practice in New York and Oregon, and before the U.S. Court of Appeals for the Second and Ninth Circuits
- Member of the New York City Bar (member, Arbitration Committee, former member, Committee on Professional and Judicial Ethics; former member, Alternative Dispute Resolution Committee); New York County Lawyers' Association; American Bar Association (member, Section of Dispute Resolution); New York State Bar Association; and the College of Commercial Arbitrators

## **Background and Education**

- Assistant United States Attorney, Southern District of New York, criminal & civil divisions, 1979-1985
- Law Clerk, Judge William C. Conner, U.S. District Court for the Southern District of New York, 1977-1979
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## **References Available Upon Request**

## **Hon. Kathleen A. Roberts (Ret.)**

### **Special Master/Disc. Referee Dispute Resolution Experience**

Kathleen A. Roberts served as a United States Magistrate Judge in the Southern District of New York from 1985 to 1995, where she presided over trials and supervised discovery in hundreds of commercial, employment, insurance, securities, intellectual property, product liability, environmental, and personal injury cases.

Judge Roberts is an Adjunct Professor at New York University Law School, where she teaches arbitration as part of a semester-long course on Alternative Dispute Resolution. Judge Roberts frequently speaks to bar associations and other professional groups regarding alternative dispute resolution and federal litigation practice.

Since joining JAMS, Judge Roberts has been appointed by the state and federal courts to supervise discovery in a number of complex commercial product liability, insurance, and intellectual property cases. Judge Roberts' discovery master appointments include the following:

### **Representative Matters**

- Appointed by a New York arbitration panel to supervise depositions and adjudicate privilege claims in a complex commercial case involving information technology for the securities industry
- Appointed by the federal district court for the District of Columbia to adjudicate privilege claims with respect to thousands of documents in a patent dispute between two telecommunications giants
- Appointed by the Southern District of New York to adjudicate privilege claims in a telecommunications patent case
- Appointed by the Supreme Court, Nassau County, to supervise discovery in cases consolidated from state and federal courts involving the liquidation of an insurance company
- Appointed by the Supreme Court, New York County, to resolve discovery disputes in a product liability action involving the drug Serzone
- Appointed by the Supreme Court, New York County, to supervise discovery in a case brought by the City of New York against manufactures of lead pigment, seeking damages for lead paint abatement in numerous public housing projects

### **Honors, Memberships, and Professional Activities**

- Member of the mediation panels for the United States District Court in the Southern and Eastern Districts of New York, and the Commercial Division of the Supreme Court, New York County

- Adjunct Professor of Law at New York University School of Law, teaching Alternative Dispute Resolution, 1998-present
- Frequent participant in bar association programs and continuing legal education programs on mediation, arbitration, and federal litigation practice
- Admitted to practice in New York and Oregon, and before the U.S. Court of Appeals for the Second and Ninth Circuits
- Member of the New York City Bar (member, Arbitration Committee, former member, Committee on Professional and Judicial Ethics; former member, Alternative Dispute Resolution Committee); New York County Lawyers' Association; American Bar Association (member, Section of Dispute Resolution); New York State Bar Association; and the College of Commercial Arbitrators

### **Background and Education**

- Assistant United States Attorney, Southern District of New York, criminal & civil divisions, 1979-1985
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