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<b><u>I. Profession</u></b>	<b><u>Accrediting Agency or Jurisdiction</u></b>	<b><u>Date of Admission</u></b>
Attorney	New York State	1/31/77
Attorney	Florida	1/3/77

<b><u>II. Professional Organization</u></b>	<b><u>Number of Years</u></b>	<b><u>Active/Inactive</u></b>
NY County Bar Assoc.	30	Active
Bar Assoc of the City of NY	30	Active
Florida Bar	35	Active

### **III. General professional experience:**

I am presently an Administrative Law Judge working for the City of New York, Office of Trials and Hearings, Environmental Control Board Tribunal. My principal assignment is in the Mail Adjudication/Special Motion Part Unit conducting hearings by mail; telephone and based on submission made by Respondent via online submissions; I have also conducted live hearings in Staten Island and Brooklyn locations. I also maintain a small private practice.

Prior to that position I was in house at the New York Stock Exchange in the Arbitration Department as an Arbitration Counsel, responsible for case management, including scheduling, arbitrator appointment, liaising with party representatives.

From 1987 through 2004 I was in private practice specializing in real estate law and entertainment law, responsible for all aspects of practice including acquisition of clients, counseling clients, developing strategy, negotiating, drafting and reviewing agreements for clients in residential (coops, condo, single family dwellings) and commercial real estate matters, preparing for and conducting mortgage and title transfer closings; entertainment (all media, including theater, film, television, video, music and publishing) matters; and general corporate and civil litigation. Real estate clients include purchasers, sellers, lenders, tenants and commercial landlords; also represent title companies as a title closer. Entertainment clients include playwrights, screen writers, composers, lyricists, songwriters, musical directors, directors, performers, producers, novelists, and managers. In addition to my law practice in the real estate field I act as an independent title closer.

#### **IV. General pertinent experience:**

I am a certified mediator for the USDC, SDNY and the New York State Supreme Court - Commercial Division. The cases I have mediated span a large range of civil disputes including business matters--such as partnership disputes or other contractual relations--and personal injury matters. The relevance of my experience is based on the required skills of the mediator--skills that have to do with working within the process of mediation and knowing the role of the mediator. The mediator is to be the facilitator of a resolution by the parties, by raising issues, raising doubts, fostering agreement--in sum helping the parties see avenues of agreement instead of dissent and helping them to fashion their own solution (which may be unconventional) and not being judgmental--or making a determination. I have also been trained as an Early Neutral Evaluator by the USDC, SDNY in a pilot program.

I am also a commercial arbitrator, and have been for approximately 25 years. I currently am on the American Arbitration Association Commercial Panel and the FINRA panel of Arbitrators. While the skills of the arbitrator are not the same as those for a mediator, as the arbitrator is the person who makes a decision, in lieu of the resolution of the parties, there are skills used as an arbitrator that are useful to mediation as well - the skill of listening to what parties and witnesses say, and being able to assess the statements that are said, being the most important.

#### **V. Mediation training:**

American Arbitration Assoc.: Mediation Training Workshop--2 days, 16 hrs., 4/92.

USDC-SDNY: Conducted by the Center for Public Resources-1 afternoon-6/1992

NYS Bar Association: Securities Mediation and Arbitration Training - 3/30/98

USDC - SDNY, Conducted by the Center for Public Resource - ENE training - 6/11/2001

#### **VI. Pertinent bankruptcy training:**

None

#### **VII. General pertinent business or legal experience:**

My years of being in practice as an attorney encompass real estate, entertainment, estate and small business matters; accordingly I am familiar with some of the types of issues that are ancillary to a bankruptcy, although I do not have direct training in the bankruptcy field.

In addition to my law practice, I also served as an arbitrator for the NYSE, NASD (now merged and known as FINRA) and the AAA. The types of cases that I arbitrate at the FINRA are customer complaints (e.g. involving issues such as "breach of the know your customer" rule, suitability/sophistication, churning, breach of fiduciary duty, unauthorized trading) and Industry issues (e.g. employment termination, failure to pay bonus, failure to return advance bonus); at the AAA

among the matters I arbitrate are real estate matters (e.g. brokerage commission, partnership disputes) and entertainment issues (management contracts, music royalty contracts, engagement of services). I have served as Chairperson of many panels.