

Julie Jason

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Jackson, Grant

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I. Professional	Accrediting Agency or Jurisdiction	Date of Admission
Lawyer (JD, LLM)	• Admitted to Practice: State of Ohio	1974
	• Admitted to Practice: State of NY	1976
	• Admitted to Practice: Federal District Court SDNY/EDNY	1978
	• Admitted to Practice: Federal Circuit Court of Appeals 2d Circuit	1978
	• Admitted to Practice: United States Supreme Court	1978
	• Admitted to Practice: Judicial Branch, State of CT, Juris Type C	2008
Broker/Dealer	• Principal: Series 24 / 26 (inactive)	1994/1990
Broker/Dealer	• Series 7 / 6 and 63 (inactive)	1993/1990
Investment Advisory	• Series 65 (active)	1999

II. Professional Organization	Date of Admission	Active/Inactive
American Bar Association	1976	Active
National Association of Corporate Directors	2017	Active
Investment Company Institute	1978/2017	Active
CT Bar Association	2008	Active

III. General professional experience:

Practiced corporate/securities law for nine years after earning an advanced law degree in 1975 (LLM) (1975-1983 and in 1988) both in private practice and in-house with a major wire house (Assistant General Counsel of PaineWebber, Inc.). Concentration in investment company matters. Held supervisory positions in the securities industry since 1983: President of PaineWebber Futures Management, Inc. (former); President of PW Trust (former); President of Jackson, Grant & Company (from inception 1990 through BDW 2010) and President of Jackson, Grant Investment Advisers, Inc. (since 1992).

IV. General pertinent experience:

Formerly FINRA Industry Arbitrator (Chairperson's Roster) and FINRA Mediator.

Arbitrator training:

AAA 1992

NYSE 11/12/93
FINRA 10/11/95
NYSE 10/24/95 Discovery and Punitive Damages
FINRA 12/8/95 Employment Law
FINRA 7/31/96 Chairperson Training
FINRA Mediation Training

V. Mediation training:

FINRA-sponsored US Bankruptcy Court Training (24 hours, September 30 – Oct 2, 1996). Certified as a mediator by the US Bankruptcy Court (EDNY 10/6/96). FINRA Mediator (inactive).

VI. Pertinent bankruptcy training:

See V. above.

VII. General pertinent business or legal experience:

Since 1983, served as chief executive of four firms. 1- Paine Webber Futures Management Corporation, the general partner of managed futures limited partnerships offered the public through the retail brokerage system. Signing principal on SEC registration statements. 2 - PW Trust Company, PaineWebber's non-bank bank in its organization phase and later in a crisis management situation. 3 - Jackson, Grant & Company, a FINRA broker/dealer (from inception in 1990 through 2010). 4 – Jackson, Grant Investment Advisers, Inc., a registered investment adviser (since inception in 1992). Practiced corporate/securities law for nine years.