

Name: Glenn L. Nobel

Date: June 21, 2018

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I. Professional Accrediting Agency or Jurisdiction Date of Admission

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| United States Securities and Exchange Commission Broker Dealer | 1982 |
| Pennsylvania Securities Commission Registered Investment Advisor | 1997 |
| Duke University Private Adjudication Center | 1998 |
| Equal Employment Opportunity Commission - Faculty Emeritus | 1998 |
| Financial Industry Regulatory Authority | 2007 |
| Pennsylvania Council of Mediators | 1996 |
| United States Bankruptcy Court | 1996 |
| United States Postal Service - REDRESS | 1995 |

II. Professional Organization Date of Admission Active/Inactive

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| American Bar Association (Associate) | March 1996 | Inactive |
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III. General Professional Experience:

I am a retired general partner of Nobel Securities, a proprietary market making firm. My responsibilities included: trading, risk management and compliance. The firm has been a member of The American Stock Exchange, The Philadelphia Stock Exchange, The Philadelphia Foreign Options Exchange and The Philadelphia Board of Trade.

IV. General Pertinent Experience:

My dispute resolution experience began in 1988 with an appointment to The American Arbitration Association's Panel of Commercial Neutrals. Further appointments include Duke University - Private Adjudication Center, The Equal Employment Opportunity Commission, The Federal Depository Insurance Corporation, The KeyBridge Foundation, The Pennsylvania Council of Mediators, The United States Bankruptcy Court and The United States Postal Service.

V. Mediation Training:

American Arbitration Association Mediator Training,
Philadelphia, PA, March 1994, 16 hours, Washington, DC, February 1998 , 6 hours

Bucks County Juvenile Court - ADR/Youth Aid Program,
Northampton, PA, November 1992, 35 hours

FINRA Chairperson Training,
Philadelphia, PA, May 2002, 8 hours

NASDR Employment Law Training, Large and Complex Cases and Advanced Training,
Philadelphia, PA, February 1996-1998 – 2004, 8 hours

Department of Justice - Americans with Disabilities Act Mediation Training,
Minneapolis, MN, May 1997, 9 hours, New York, NY, June 2003, 7 hours

United States Postal Service - REDRESS Mediation Training
Bellmawr, NJ, March 1999, 17 hours

Equal Employment Opportunity Commission, Advanced Mediation Skills Training,
Panelist, Newark, NJ, May 1997, 8 hours, Faculty, June 2000, 23 hours

VI. Pertinent Bankruptcy Training:

United States Bankruptcy Court Southern District Mediator Training,
New York City, NY, October 1996, 26 hours

VII. General Pertinent Business or Legal Experience:

In 1982, the Securities and Exchange Commission approved Nobel Securities Broker/Dealer application. In 1983, the firm registered with the Commodities Futures Trading Commission. In 1997, the Pennsylvania Securities Commission approved the firm as a Registered Investment Advisor.

As General Partner and Compliance Officer, my responsibilities included: registration, agency filings, periodic supervisory and daily risk reviews.

My mediation experience began in 1988, with an unsolicited appointment to the American Arbitration Association Panel of Commercial Neutrals. This appointment has led to a series of further panel affiliations, all disclosed throughout this profile.

Panels and Rosters

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| American Arbitration Association | 1988 |
| American Arbitration Association - Mediator | 1994 |
| American Stock Exchange | 1993 |
| Bucks County Youth Aid Panel | 1994 |
| Chicago Board of Options Exchange | 1994 |
| Coffee, Sugar and Cocoa Exchange | 1994 |
| Duke University Private Adjudication Panel | 1997 |
| Equal Employment Opportunity Commission | 1997 |
| Federal Depository Insurance Corporation / Resolution Trust | 1994 |
| KeyBridge Foundation | 1998 |
| National Association of Securities Dealers Regulation | 1994 |
| National Association of Securities Dealers Regulation - Mediator | 1997 |
| National Futures Association / Commodities Futures Trading Commission | 1994 |
| New York Mercantile Exchange | 1993 |
| New York Mercantile Exchange Adjudication Committee | 1997 |
| New York Stock Exchange | 1995 |
| New York Stock Exchange - Mediator | 1997 |
| Pennsylvania Council of Mediators | 1996 |
| Philadelphia Stock Exchange Arbitration Committee | 1993 |
| Philadelphia Stock Exchange Disciplinary Review Board | 1993 |
| United States Bankruptcy Court - Southern District of NY - Mediator | 1996 |