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**File Last Updated:**  
**01/04/13**

**Available to mediate in New York City and Poughkeepsie**

<b><u>I. Profession</u></b>	<b><u>Accrediting Agency or Jurisdiction</u></b>	<b><u>Date of Admission</u></b>
Attorney	First Department E.D.N.Y. S.D.N.Y. Second Circuit	January 1973 August 1973 August 1973 December 1977

<b><u>II. Professional Organization</u></b>	<b><u>Date of Admission</u></b>	<b><u>Active/Inactive</u></b>
New York City Bar Association	1995	Active
N.Y. County Lawyers' Ass'n	1995	Active
Association for Conflict Resolution	2000	Active
American Arbitration Association (Mediator and Arbitrator Panels)	2007	Active
FINRA (Financial Industry Regulatory Authority) (Mediator Panel)	1999	Active

**III. General professional experience:**

I have practiced law for more than forty years. My areas of practice are commercial litigation, alternate dispute resolution and other neutral services, and corporate compliance. I serve as a Special Discovery Master to the Hon. Eileen Bransten of the Commercial Division, New York Supreme Court, and previously served as a Special Discovery Master to then-Commercial Division judges Hon. Richard B. Lowe III, Hon. Karla Moskowitz and Hon. Beatrice Shainswit. I also serve as a mediator and arbitrator for American Arbitration Association, as a mediator for FINRA, and as a Hearing Officer for the New York State Department of Financial Services, Liquidation Bureau. For more complete information, please see my profile on my firm's website: [www.doarlaw.com](http://www.doarlaw.com).

#### **IV. General pertinent experience:**

I have been a mediator for over fifteen years, during which time I mediated more than 80 cases for the U.S. District Courts and the U.S. Bankruptcy Courts, Southern and Eastern Districts of New York; Supreme Court, New York County, Commercial Division; the American Arbitration Association and the Financial Industry Regulatory Authority (FINRA). I have extensive commercial litigation experience as an advocate and as a neutral. I am happy to provide references on request.

#### **V. Mediation training:**

I have participated in numerous mediation training programs. Representative programs are Institute for Conflict Management, sponsored jointly by the Bankruptcy Court for the Southern District of New York and the National Association of Securities Dealers (February 12-14, 1996); United States District Court, Southern District of New York, given by Margaret Shaw, Michael Lewis and Linda Singer (December 8-9, 1997); JAMS training sponsored by the New York State Supreme Court, Commercial Division (June 9, 1997); Mediation "Brush-up" Roundtable at the Southern District of New York by Margaret Shaw, Linda Singer and Michael Lewis (December 10, 1999); Mediation Workshop at Harvard Law School Program of Instruction for Lawyers, given by Frank Sander, Linda Singer and Michael Lewis (June 11-15, 2001); Commercial Mediator as Deal Maker: Meeting the Needs of the Adversarial Litigator, ABA Section of Dispute Resolution (April 15, 2004); Identifying Barriers to Negotiation and Resolution, New York State Supreme Court, Commercial Division (May 22, 2006); 2008 ACR of Greater New York Conference (The Seven Habits of Highly Effective Neutrals, Risk Analysis for Commercial Mediators, Ethical Obligations for Neutrals); 2009 ACR of Greater New York Conference (International Mediation, Hybrid Processes and the Shifting Roles of Neutrals, Are Mediator Skills Enough?)

Additionally, I have served as a mediation trainer or facilitator for New York State Attorney General's Office; New York City Law Department; NYU, Columbia and Fordham Law Schools; New York City Bar Association; New York Supreme Court, Commercial Division; Bankruptcy Court (SDNY)/NASD Mediation Training Program.

#### **VI. Pertinent bankruptcy training:**

PLI bankruptcy course.

#### **VII. General pertinent business or legal experience:**

I have mediated or served as an arbitrator on several bankruptcy matters. Additionally, I served as counsel to a court-appointed Monitor of several small corporations and therefore have experience overseeing the day-to-day functioning of businesses, including management of their debt. I served for ten months as the court-appointed receiver of a

large Section 8 residential and commercial building. My experience as an Assistant United States Attorney in the Southern District's Civil Division also gave me some exposure to trusteeship. I have extensive general commercial experience.