

Robert L. Spangler
11 Riverside Drive
#10 FW
New York, NY 10023
(212) 724-0024

File Last Updated: 2/28/00

I. Profession Accrediting Agency or Jurisdiction Date of Admission

Branch Manager
(Senior Resident VP)
Consultant

II. Professional Organization Date of Admission Active/Inactive

National Association Securities Dealers (NASD)		Active
ASE		Active

III. General professional experience:

Merrill Lynch, Sr. Resident VP, Branch Manager
NASD -- Industry Arbitrator
ASE -- Industry Arbitrator

IV. General pertinent experience:

Arbitration Chairman -- Branch manager dealing with clients and employees.

V. Mediation training:

A three-day course of mediation skills training course sponsored by NASD Regulation and the USBC for SDNY.

VI. Pertinent bankruptcy training:

None.

VII. General pertinent business or legal experience:

Selected by the NASD to be part of Section 46 Arbitrator Group ("large and complex cases").