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<b><u>I. Profession</u></b>	<b><u>Accrediting Agency or Jurisdiction</u></b>	<b><u>Date of Admission</u></b>
Attorney	New York State Supreme Ct, Appellate Div. DC Ct. of Appeals	3/67 1975

<b><u>II. Professional Organization</u></b>	<b><u>Date of Admission</u></b>	<b><u>Active/Inactive</u></b>
American Bar Assoc.		Active
NYS Bar Assoc.		Active
DC Bar Assoc.		Active
NY Council of Defense Lawyers		Active
Nat'l Assoc. of Crim. Defense Lawyers		Active
Federal Bar Council		Active

### **III. General professional experience:**

Currently a partner in a small litigation boutique started with son. Formerly, a partner in large law firm. Concentration in civil, criminal and administrative cases involving companies, officers, directors and professionals in securities, financial institutions and professional liability areas. Previously also served as general counsel to an accounting firm (Spicer & Oppenheim) and as an Asst. Director, Assist. Chief Trial Attorney, Division of Enforcement, SEC; Chief Enforcement Attorney, SEC, New York Regional Office. Author of treatise: Securities Crimes: Extensive writings and lectures on referenced practice areas.

### **IV. General pertinent experience:**

Early neutral evaluator for cases filed in the U.S. District Ct. for District of DC.

### **V. Mediation training:**

Early neutral evaluator - U.S. District Ct.,DC. An all-day course given to ENS participants. NASD trained chairperson and Arbitrator.

**VI. Pertinent bankruptcy training:**

- a. Served as receiver in insolvency In re Whiz Wear Limited
- b. Served as trustee in bankruptcy for a matter in USDC-DC
- c. Represented clients in actions by Trustees and in examination proceedings by creditors and/or SEC

**VII. General pertinent business or legal experience:**

See Martindale Hubbell or Law Firm Website: [www.pickholz.com](http://www.pickholz.com)